

STATE OF NEW JERSEY

CASINO CONTROL COMMISSION



CASINO KEY EMPLOYEE LICENSE APPLICATION

(BASIC KEY FORM)

PERSONAL HISTORY DISCLOSURE FORM 1-B

APPLICATION INSTRUCTIONS
PERSONAL HISTORY DISCLOSURE FORM (BASIC KEY FORM)
CASINO KEY EMPLOYEE

If you are an applicant for a casino key employee license, you should be aware that the Casino Control Commission (Commission) will not accept an application from or issue a license to any person who is not a citizen of the United States or who does not possess a valid employment authorization issued by the United States Immigration and Naturalization Service (INS). Furthermore, the expiration date of a license issued by the Commission to any person who is not a citizen of the United States cannot exceed the expiration date of that person's INS employment authorization.

I. COMPLETING THIS FORM:

- A. You are to complete this application if you are:
1. An applicant for an initial two-year casino key employee license pursuant to *N.J.S.A. 5:12-89*; and-94(d); or
 2. An outside director of a holding company or any business entity required to qualify with respect to a casino licensee pursuant to *N.J.A.C. 19:43-2.7*; or
 3. A trustee as defined in *N.J.S.A. 5:12-95.12*, pursuant to *N.J.A.C. 19:43-2.7*; or
 4. A trustee required to be qualified pursuant to *N.J.A.C. 19:43-2.7*; or
 5. A beneficiary of a trust required to be qualified pursuant to *N.J.A.C. 19:43-2.7*; or
 6. Directed to do so by the Commission.
- B. Read each question carefully prior to answering. Answer every question completely. Do not leave blank spaces. If a question does not apply to you, indicate "Does not apply" in response to that question. If there is nothing to disclose in response to a particular question, state "None" in response to that question.
- C. All entries on this form, except initials and signatures, must be typed or printed in block lettering using only dark ink. If the application is not legible, it will not be accepted.
- D. If you need additional space to answer any question(s), use the blank page provided on page 47 of this form. If you use this additional space, be sure to indicate the number(s) of the question(s) which you are answering.
- E. All attachments requested in this form are to be labeled with an exhibit number and attached to the back of the form.

II. ESTABLISHING YOUR IDENTITY AND WORK AUTHORIZATION IN CONNECTION WITH AN APPLICATION FOR A CASINO KEY EMPLOYEE LICENSE:

All applicants for a casino key employee license must come to the Commission offices and establish their identity and employment authorization. Our offices are located at:

New Jersey Casino Control Commission
Employee License Bureau
Arcade Building
Tennessee Avenue and Boardwalk
Atlantic City, New Jersey 08401

To establish your identity and employment authorization in accordance with *N.J.A.C. 19:41-7.2A*, you must present the original document(s) listed below in A or B.

- A. A current and valid U.S. passport OR Certificate of Naturalization OR a current identification card issued by the INS containing a photograph or fingerprint and identifying information such as name, date of birth, sex, height, color of eyes and address.
- B. If the items in (1) above are not available, two of the following authentic documents may be accepted:
 - 1. A certified copy of a U.S. birth certificate issued by a state, county or municipal authority with an official seal;
 - 2. A current and valid state issued driver's license that has a photograph and/or identifying information.
 - 3. A current and valid identification card issued to persons who serve in the U.S. military or their dependents that has a photograph and/or identifying information;
 - 4. A current and valid school identification card containing a photograph, an expiration date, the seal or logo of the issuing institution and the signature of the card holder;
 - 5. A current and valid identification card issued by a federal, state or local government agency that has a photograph and/or identifying information;
 - 6. A valid casino employee license, an expired casino employee or casino key employee license issued after 1998, or a valid casino service employee registration; or
 - 7. A current and valid foreign passport with a proper INS authorization.

NOTE: If the name on any of the provided identification is different than the name on your application, you must also provide a court ordered name change, marriage certificate or divorce decree to establish the reason for the different name.

Call (609) 441-3015 if you have any questions about identification documents.

III. BEFORE YOU SUBMIT THIS FORM TO THE COMMISSION, BE SURE THAT:

- A. If you are applying for a casino key employee license, you have established your identity and work authorization in accordance with Section II above and provided identification documents to the Commission and attached copies of these documents to this form.
- B. All attachments required in this form are labeled with an exhibit number and included in both the original and the photocopy.
- C. The Statement of Truth and Release Authorization forms are notarized on the original application.

- D. Every question has been answered completely.
- E. You initial each page of this form in the space provided.
- F. You retain a completed copy of this form for your own records.

IV. FILING THIS FORM WITH THE COMMISSION:

- A. Submit this form and attachments as an original and one (1) photocopy. If the photocopy is not clear, the application will not be accepted.
- B. The fee for the issuance of a casino key employee license is:
 - 1. A minimum application fee of \$750, which shall be credited to the total fee. A check or money order (no cash) in this amount made payable to the "Casino Control Fund" must accompany this application. **Application fees are nonrefundable.**
 - 2. Payment for the efforts of the Commission and the Division of Gaming Enforcement (Division) on matters directly related to the applicant at hourly rates set in accordance with *N.J.A.C. 19:41-9.4(e)*.
 - 3. Payment for all unusual or out of pocket expenses incurred by the Commission and the Division on matters directly related to this application; the total fees shall not exceed \$4,000 pursuant to *N.J.A.C. 19:41-9.11*.
- C. Once your application is accepted, it becomes the property of the Commission and may not be withdrawn without the permission of the Commission.
- D. If you are applying for a casino key employee license, you may be required to be fingerprinted. If the Commission directs you to be fingerprinted, **you must be fingerprinted within thirty (30) days after you file your application with the Commission.** To be fingerprinted, you must make an appointment with the Division's Identification Unit, which is located in the Arcade Building, Tennessee Avenue and Boardwalk, Atlantic City, New Jersey. Call for an appointment at (609) 441-3050. There is no charge for fingerprinting. **When you arrive for your fingerprinting appointment, you must present the identification documents listed in Section II to establish your identity or you will not be fingerprinted.** Failure to be fingerprinted when required shall be a basis for the denial of your casino key employee license application.

V. IMPORTANT NOTICES

- A. Should you be unable to understand this form fully in English, it is your responsibility to acquire adequate means of translation.

Si usted no puede entender este formulario completamente en Ingles, es su responsabilidad de obtener los metodos necesarios de traduccion.
- B. All notices regarding your application will be sent to the address which you provide on this form. You must immediately notify the Commission and the Division of any change of address.
- C. Pursuant to section 86(b) of the Casino Control Act, failure to answer any question completely and truthfully will result in denial of your license application.

- D. Pursuant to sections 79(a)(6) and 80 of the Casino Control Act, any person who applies for and obtains a license from the Commission is required to submit to warrantless searches when present in a licensed casino hotel facility.
- E. Pursuant to section 74 of the Casino Control Act, information supplied to the Commission and the Division or otherwise obtained by either of them is confidential and shall not be revealed except in the course of the necessary administration of the Casino Control Act, or upon the lawful order of a court of competent jurisdiction or, with the approval of the Attorney General, to a duly authorized law enforcement agency. Nevertheless, pursuant to section 80 of the Casino Control Act, an applicant or licensee waives any liability of the State of New Jersey and its instrumentalities and agents for any damages resulting from any disclosure or publication in any manner, other than a willfully unlawful disclosure or publication.
- F. Pursuant to section 89(b)(4) of the Casino Control Act, any applicant for a casino key employee license must, prior to the issuance of such license, produce sufficient information, documentation, and assurances to meet the qualification criteria including New Jersey residency. In order for a license to remain valid, New Jersey residency must be maintained.
- G. In accordance with section 5 of the Privacy Act, 5 U.S.C. 552a, disclosure of your social security number is voluntary. Failure to disclose your social security number is not grounds for denial of your application. The request for your social security number is made pursuant to the Casino Control Act, *N.J.S.A. 5:12-1 et seq.* (Specifically *N.J.S.A. 5:12-80, -89 and -102.*) If provided, your social security number will be used by the Commission and the Division to obtain and verify information for your license as a casino key employee. The absence of a social security number on the application may result in a delay in the final determination of your license.
- H. Pursuant to *N.J.A.C. 19:41-14.2(a)*, applications for the renewal of a casino key employee license must be filed with the Commission five months prior to the expiration date of the current license.

CASINO KEY EMPLOYEE/QUALIFIER FORM

PERSONAL HISTORY DISCLOSURE FORM (BASIC KEY FORM)

OFFICIAL USE ONLY		
1. CCC	2. CCC	3. DGE

PLEASE PRINT OR TYPE THE ANSWERS TO THE FOLLOWING QUESTIONS IN THE SPACES PROVIDED

NAME:	LAST (INCLUDE SR.,JR.,ETC, IF APPLICABLE)	FIRST	MIDDLE
MAILING ADDRESS: (NUMBER AND STREET) (APT#) (CITY) (STATE) (ZIP CODE) <div style="text-align: right;">_ _ _ _ _ - _ _ _ _ _</div>			
HOME ADDRESS: (IF DIFFERENT THAN MAILING ADDRESS) (NUMBER AND STREET) (APT#) (CITY) (STATE) (ZIP CODE) <div style="text-align: right;">_ _ _ _ _ - _ _ _ _ _</div>			
HOME TELEPHONE NUMBER: (AREA CODE) (NUMBER)		TELEPHONE NUMBER AT CURRENT PLACE OF EMPLOYMENT: (AREA CODE) (NUMBER) (EXTENSION)	
DATE OF BIRTH:	(MO) (DAY) (YEAR)	HEIGHT (FT-IN)	WEIGHT (LBS) SOCIAL SECURITY NUMBER*:

HAVE YOU BEEN KNOWN BY ANY OTHER NAME OR NAMES? YES ☐ NO ☐ IF YES, LIST THE ADDITIONAL NAMES BELOW AND SPECIFY DATES OF USE FOR EACH. (INCLUDE MAIDEN NAME, ALIASES, NICKNAMES OR ANY OTHER NAME.)

PLEASE CHECK OR COMPLETE APPROPRIATE SPACE

HAIR COLOR:	EYE COLOR:	SEX:**	RACE:**
<input type="checkbox"/> (BK) BLACK <input type="checkbox"/> (BR) BROWN <input type="checkbox"/> (BD) BLOND <input type="checkbox"/> (RD) RED <input type="checkbox"/> (GY) GRAY <input type="checkbox"/> (WH) WHITE <input type="checkbox"/> (BA) BALD	<input type="checkbox"/> (BK) BLACK <input type="checkbox"/> (BR) BROWN <input type="checkbox"/> (HZ) HAZEL <input type="checkbox"/> (BL) BLUE <input type="checkbox"/> (GY) GRAY <input type="checkbox"/> (GR) GREEN	<input type="checkbox"/> (M) MALE <input type="checkbox"/> (F) FEMALE	<input type="checkbox"/> (C) CAUCASIAN <input type="checkbox"/> (B) BLACK <input type="checkbox"/> (H) HISPANIC <input type="checkbox"/> (A) ASIAN <input type="checkbox"/> (N) NATIVE AMERICAN

*UNDER THE PRIVACY ACT, DISCLOSURE OF YOUR SOCIAL SECURITY NUMBER IS VOLUNTARY, SEE SECTION V G UNDER IMPORTANT NOTICES ON PAGE 5 OF THIS APPLICATION.

**YOUR RESPONSE IS OPTIONAL.

DO NOT WRITE ON THIS PAGE

THIS PAGE FOR OFFICIAL USE ONLY

Name _____

Date of Birth _____

Any one of the following:

_____ United States Passport Expiration Date _____

_____ Certificate of Naturalization

_____ INS Identification Card Expiration Date _____

Specify Status _____

OR, any two of the following:

_____ Certified Birth Certificate

_____ Motor Vehicle Operator's License Expiration Date _____

Jurisdiction _____

_____ U.S. Military Card

_____ Student Identification

_____ Government Identification Card

Specify _____

_____ Commission License or Registration

Specify _____

_____ Foreign Passport

Country _____ INS Expiration Date _____

Comments:

Authorized by: _____

Date: _____

IMPORTANT

**FAILURE TO ANSWER ANY QUESTION ON THIS FORM
COMPLETELY AND TRUTHFULLY WILL RESULT IN
THE DENIAL OF YOUR LICENSE APPLICATION.**

**THE COMMISSION WILL
AFFIX A PHOTOGRAPH HERE.**

Check the appropriate statement:

- | | |
|--|--|
| <input type="checkbox"/> I am applying for a two-year initial casino key employee license. | <input type="checkbox"/> I am a trustee as defined in <i>N.J.S.A. 5:12-95.12</i> . |
| <input type="checkbox"/> I am an outside director of a holding company or a business entity required to qualify with respect to a casino licensee. | <input type="checkbox"/> I am a trustee required to be qualified pursuant to <i>N.J.S.A. 19:43-2.7</i> . |
| | <input type="checkbox"/> I am a beneficiary of a trust required to be qualified. |

NOTE: Casino key employees are those employed in the operation of a licensed casino or simulcasting facility in a supervisory capacity or are empowered to make discretionary decisions which regulate casino or simulcasting facility operations. This includes pit bosses, shift bosses, credit executives, casino cashier supervisors, casino or simulcasting facility managers and assistant managers and managers of supervisors of casino security employees. Also included are those who are empowered to make discretionary decisions which regulate the management of an approved hotel. This includes hotel managers, entertainment directors and food and beverage directors. (*N.J.S.A. 5:12-9* and *N.J.A.C. 19:41-1.1*)

1. Are you a citizen of the United States? Yes ☐ No ☐
2. If you are a naturalized citizen of the United States, attach a copy of your Certificate of Naturalization to this form labeled as Exhibit 2.
3. If you are not a citizen of the United States, please indicate:
 - A. The country of which you are a citizen: _____
 - B. Place of Birth: _____
CITY STATE COUNTY
 - C. Port of entry to the United States: _____
 - D. Name and address of sponsor upon your arrival:

4. If you are not a United States citizen, but you are a legally authorized permanent resident alien or you are authorized to be employed in the United States, please provide your INS "A" number or other INS authorization number in the space provided below, and attach to this form a copy of your INS identification card and/or any other INS document that conditions or restricts your employment labeled as Exhibit 4.

INS "A" number: _____

RESIDENCE DATA

5. Beginning with your current residence(s) and working backwards, provide the following information with respect to each place where you have lived during the past 10 years or since the age of 18, whichever is less.

DATES		ADDRESS (NO., STREET, APT., CITY, STATE, COUNTRY & ZIP CODE)	TELEPHONE NUMBER
FROM: (MO/YR)	TO: (MO/YR)		

FAMILY/SOCIAL DATA

6. Circle your current marital status: Single Married Legally separated Divorced Widow/Widower

How many times have you been married?: _____ Provide the information listed below regarding each marriage.

WHEN AND WHERE	NAME OF SPOUSE AND FORMER SPOUSE(S) (INCLUDE MAIDEN NAME, IF APPLICABLE)	DATE OF BIRTH	IF ANNULLED, SEPARATED OR DIVORCED, INDICATE DATE AND JURISDICTION WHERE SUCH ACTION WAS TAKEN	DOCKET # OF DIVORCE ACTION (IF KNOWN)	PRESENT ADDRESSES OF SPOUSE AND/OR FORMER SPOUSE(S) (NO., STREET, APT., CITY, STATE, COUNTRY, ZIP CODE)

7. List all family members of you and your spouse. Family members include parents, children and siblings, living or deceased. Former spouses need not be included.

RELATIONSHIP	NAME	ADDRESS	DATE OF BIRTH	PHONE NUMBER	NAME OF PARENTS

7. (Cont.)

RELATIONSHIP	NAME	ADDRESS	DATE OF BIRTH	PHONE NUMBER	NAME OF PARENTS

MILITARY SERVICE DATA

8. Have you ever served in a military organization of the United States or been an active or inactive member of the Reserve Forces of the United States?

Yes ☐ No ☐

If yes, provide the following information:

Branch of Service: _____ Service Serial #: _____ Highest Rank Held: _____

Period(s) of Active Service:

From _____ To _____ From _____ To _____

9. Date and type of discharge or separation (Honorable, Dishonorable, Honorable Conditions, Medical, etc.) from Military Service(s):

Date of each discharge/separation: _____

Type of discharge(s): _____

Attach a copy of your military record (DD214) labeled as Exhibit 9. If unavailable, attach a copy of a letter to the appropriate branch of the military requesting a copy of your DD214 labeled as Exhibit 9. If in reserves, please attach a copy of your discharge papers.

10. Have you ever been tried by military court martial or have you had charges filed against you under Article 15 of the Uniform Code of Military Justice (summary court, deck court, captain's mast, company punishment, etc.)?

Yes ☐ No ☐

If yes, give details of the charge(s) and their disposition(s).

EDUCATIONAL DATA

11. Beginning with secondary school (high school), provide the information listed below with respect to each school, college, graduate or post graduate school you have attended.

DATES		NAME AND ADDRESS OF SCHOOL, TRAINING PROGRAM, ETC.	DESCRIPTION OF EDUCATION PROGRAM	LIST ANY DEGREE OR CERTIFICATION ATTAINED
FROM: (MO/YR)	TO: (MO/YR)			

EMPLOYMENT AND LICENSING DATA

12. In the chart below, provide the information regarding your employment for the past ten years or from age 18, whichever is less. Begin with your present job and work backwards. Give dates of any unemployment between jobs in proper sequence. Include all part-time and full-time employment and any military service. Note by means of an asterisk (*) any gaming-related employment (such as casino gaming, horse racing, dog racing, parimutuel operation, lottery, sports betting, etc.).

DATES		NAME AND MAILING ADDRESS OF EMPLOYER(S)	TELEPHONE NUMBER	TITLE/POSITION HELD AND DESCRIPTION OF DUTIES	NAME OF SUPERVISOR	REASON FOR LEAVING
FROM: (MO/YR)	TO: (MO/YR)					

13. With regard to the previously listed employments:

- (a) Were you ever discharged, suspended or asked to resign from employment?
(b) During the last 10 year period, were you ever charged with any infraction in relation to any employment which was the subject of any disciplinary action?

Yes ☐ No ☐

Yes ☐ No ☐

If yes to either question, complete the following chart as to each such time you were discharged, suspended, asked to resign or disciplined:

DATE	NAME AND ADDRESS OF EMPLOYER	NAME OF SUPERVISOR	REASON FOR DISCHARGE, SUSPENSION, RESIGNATION OR DISCIPLINARY ACTION

14. Have you ever applied in New Jersey or any other jurisdiction for a license, permit, registration or other authorization to participate in a lawful gambling operation (including casino gaming, horse racing, dog racing, parimutuel operation, lottery, sports betting, etc.)?

Yes ☐ No ☐

If yes, complete the following chart:

NAME & ADDRESS OF LICENSING AGENCY (INCLUDING COUNTRY, STATE, COUNTY OR MUNICIPALITY)	TYPE OF LICENSE, PERMIT, APPROVAL, OR REGISTRATION	DATE OF APPLICATION	DISPOSITION (GRANTED, DENIED OR PENDING)	LICENSE, PERMIT, APPROVAL OR REGISTRATION NUMBER

15. Have you or has your spouse ever had any license, permit, or certification denied, suspended or revoked by a governmental agency in New Jersey or anywhere else? (Do not include driver's license.)

Yes ☐ No ☐

If yes, complete the following chart:

TYPE OF LICENSE, PERMIT OR CERTIFICATE	NAME & ADDRESS OF GOVERNMENTAL AGENCY	DATE OF DENIAL, SUSPENSION OR REVOCATION	REASON(S) FOR DENIAL, SUSPENSION OR REVOCATION

CIVIL, CRIMINAL AND INVESTIGATORY PROCEEDINGS

The next question asks about any arrests, charges or offenses you, your spouse or your children may have committed. Prior to answering this question, carefully review the definitions and instructions which follow.

DEFINITIONS: For purpose of this question:

- A. "Arrest" includes any detaining, holding, or taking into custody by any police or other law enforcement authorities to answer for the alleged performance of any "offense."
- B. "Charge" includes any indictment, complaint, information, summons, or other notice of the alleged commission of any "offense."
- C. "Offense" includes all felonies, crimes, high misdemeanors, misdemeanors, disorderly persons offenses, petty disorderly offenses driving while intoxicated/impaired motor vehicle offenses and violation of probation or any other court order. Juvenile offenses that occurred within the most recent 10 year period are also included within the definition of "offenses."

INSTRUCTIONS: A. Answer "yes" and provide all information to the best of your ability EVEN IF:

- 1. You did not commit the offense charged;
- 2. The charges were dismissed or subsequently downgraded to a lesser charge ;
- 3. You completed a Pretrial Intervention (PTI) or equivalent diversionary program in other jurisdictions;
- 4. You were not convicted;
- 5. You did not serve any time in prison or jail; or
- 6. The charges or offenses happened a long time ago.

B. Answer "no" IF:

- 1. You have never been arrested or charged with any crime or offense;
- 2. The records relating to a charge, an arrest or conviction have been expunged or otherwise officially sealed by a court or government agency; AND
- 3. You attach a copy of the expungment or sealing order to this application labeled as Exhibit 16.

16. Have you, your spouse, or any of your children ever been arrested or charged with any crime or offense in New Jersey or any other jurisdiction?

Yes ☐ No ☐

If yes, complete the chart on the following page:

16. (Cont.)

NAME OF PERSON	RELATIONSHIP	NATURE OF CHARGE OR OFFENSE/ LOCATION OF WHERE INCIDENT OCCURRED	DATE OF CHARGE OR OFFENSE	NAME AND ADDRESS OF LAW ENFORCEMENT AGENCY OR COURT INVOLVED	DISPOSITION (CONVICTED, ACQUITTED, DISMISSED, PENDING, PARDONED, ETC.)	SENTENCE

17. Have you ever been called to testify before, been the subject of an investigation conducted by, or requested to take a polygraph exam by any governmental agency, court, committee, grand jury or investigatory body (municipal, state, county, provincial, federal, national, etc.) other than in response to a traffic summons?

Yes ☐ No ☐

If yes, complete the following chart:

NAME AND ADDRESS OF COURT OR OTHER AGENCY	NATURE OF PROCEEDING OR INVESTIGATION	WAS TESTIMONY GIVEN?	DATE ON WHICH TESTIMONY WAS GIVEN	APPROXIMATE TIME PERIOD OF INVESTIGATION

18. In the past ten years, have you been a party to a lawsuit? (Include matrimonial matters, negligence matters, auto accident matters, contract matters, collection matters, debt matters, etc.).

Yes ☐ No ☐

If yes, complete the following chart:

DATE FILED	NAME & ADDRESS OF COURT	DOCKET NUMBER	OTHER PARTIES TO SUIT	NATURE OF SUIT	DISPOSITION	DATE OF DISPOSITION

VEHICLE OPERATOR DATA

19. Do you possess a current motor vehicle operator license?

Yes ☐ No ☐

If yes, list all current motor vehicle operator licenses (automobiles, motorcycles, airplanes, boats, recreational vehicles, etc.) issued to you by the State of New Jersey or any other jurisdiction in the following chart:

DATE LAST ISSUED	LICENSE NUMBER	TYPE OF LICENSE	JURISDICTION ISSUING LICENSE	EXPIRATION DATE OF LICENSE

FINANCIAL DATA

20. List any businesses in which you have held an ownership interest for the past twenty years, or since the age of 18, whichever is less. (Do **not** include publicly traded corporations in which you owned stock.)

DATES		NAME(S) & ADDRESS(ES) OF BUSINESS(ES)	CURRENT STATUS OF BUSINESS(ES)	% INTEREST HELD BY YOU	NAME(S) OF OTHER OWNER(S)	ADDRESS(ES) OF OTHER OWNER(S)
FROM: (MO/YR)	TO: (MO/YR)					

21. State when you filed your last Federal Income Tax Return Form 1040, to what IRS Center it was sent and the tax period it covered.

Date Filed: _____ Period Covered: _____

IRS Office Location: _____

Attach to the back of this form and label as Exhibit 21, a copy of each IRS Form 1040 and Form 1040X (Amended Return) and all appropriate schedules filed by you in the last five years. If you and your spouse filed separate tax returns for any year in the last five years, also attach a copy of your spouse's tax returns.

22. Have you personally ever been adjudicated bankrupt or filed a petition for any type of bankruptcy or insolvency under any bankruptcy or insolvency law?

Yes ☐ No ☐

If yes, complete the following chart:

DATE FILED	DOCKET NUMBER	NAME & ADDRESS OF COURT	NAME & ADDRESS OF TRUSTEE

23. In the past twenty years or since the age of 18, whichever is less, has any business entity in which you held a 5% or greater ownership interest (other than ownership of stock in a publicly traded corporation) or in which you served as an officer or director been adjudicated bankrupt or filed a petition for any type of bankruptcy or insolvency under any bankruptcy or insolvency law?

Yes ☐ No ☐

If yes, complete for following chart:

DATE FILED	DOCKET NUMBER	NAME & ADDRESS OF COURT	NAME & ADDRESS OF FILING PARTY	NAME & ADDRESS OF TRUSTEE

24. Have your wages, earnings, or other income been subject to garnishment, attachment, charging order, voluntary wage execution or the like during the past ten year period?

Yes ☐ No ☐

If yes, complete the following chart:

DATE FILED	DOCKET NUMBER	NAME & ADDRESS OF COURT	NATURE OF OBLIGATION	AMOUNT OF OBLIGATION	NAME & ADDRESS OF HOLDER OF OBLIGATION

25. During the last ten year period, have you been:

- (a) An executor(trix), administrator or other fiduciary of any estate;
- (b) A beneficiary or legatee under a will or received anything of value under an intestacy statute; or
- (c) A settlor/grantor, beneficiary or trustee of any trust?

Yes ☐ No ☐

If yes, complete the following chart as to each estate and trust:

NAME AND LOCATION OF ESTATE/TRUST	POSITION/INTEREST HELD	DATE(S) ON WHICH POSITIONS WERE HELD OR INTEREST WAS RECEIVED	AMOUNT OF COMPENSATION OR NATURE AND VALUE OF BENEFIT GRANTED/RECEIVED

26. During the last ten year period have you had any right of ownership in, control over or interest in any foreign bank account(s)?

Yes ☐ No ☐

If yes, complete the following chart:

DATE		NAME AND ADDRESS OF INSTITUTION HOLDING ACCOUNT	ACCOUNT NUMBER	NAME AND ADDRESS OF EACH PERSON/ENTITY APPEARING ON THE ACCOUNT	PRESENT AMOUNT HELD/ AMOUNT HELD BEFORE CLOSING
FROM: (MO/YR)	TO: (MO/YR)				

27. During the last ten year period, have you or has your spouse or any of your children, while dependent, received a loan in excess of \$10,000?

Yes ☐ No ☐

If yes, complete the following chart:

DATE RECEIVED LOAN	NAME AND ADDRESS OF LENDER	NAME OF BORROWER AND ALL CO-SIGNERS	ORIGINAL AMOUNT OF LOAN	INTEREST RATE (%)	TERMINATION DATE OF LOAN

28. During the last ten year period, have you or has your spouse or any of your children, while dependent, made any loan in excess of \$10,000?

Yes ☐ No ☐

If yes, complete the following chart:

DATE OF LOAN	NAME AND ADDRESS OF BORROWER	ALL CO-PARTIES TO LOAN	NAME OF LENDER	ORIGINAL AMOUNT OF LOAN	INTEREST RATE (%)	TERMINATION DATE OF LOAN	SECURITY PLEDGED

29. Have you or has your spouse or any of your children, while dependent, filed any claims in excess of \$100,000 under any fire, theft, automobile or insurance policy within the past ten year period?

Yes ☐ No ☐

If yes, complete the following chart:

DATE OF CLAIM	NATURE OF CLAIM	NAME AND ADDRESS OF INSURANCE CARRIER	DISPOSITION

30. During the last five year period, have you, your spouse or dependent children given or received any gift or gifts, whether tangible or intangible, which either individually or in the aggregate exceeded \$10,000 in value in any one year period?

Yes ☐ No ☐

If yes, complete the following chart as to each gift:

NAME OF THE DONOR OR DONEE	DATE GIFT GIVEN/RECEIVED	DESCRIPTION OF GIFT	APPROXIMATE VALUE

31. In the chart below, list all real estate interests other than those listed on page 34, Schedule "E", which either you, your spouse or any of your children, while dependent, has held during the last ten year period regardless of whether such interest was held under a recorded or unrecorded instrument.

LOCATION	DATE ACQUIRED	PURCHASE PRICE	DATE DISPOSED	DISPOSITION PRICE

32. In the past ten years or since the age of 18, whichever is less, have you received any referral or finder's fee in excess of \$10,000?

Yes ☐ No ☐

If yes, complete the following chart:

NAME AND ADDRESS OF ALL PARTIES INVOLVED	NATURE OF GOODS OR SERVICES PROVIDED	AMOUNT RECEIVED	DATE RECEIVED

33. a) Do you have any bank accounts or safe deposit boxes in your name?

Yes ☐ No ☐

b) Do you have access to the funds in any other bank accounts or safe deposit boxes?

Yes ☐ No ☐

If yes to either question, complete the following chart:

NAME AND ADDRESS OF BANK	NAME(S) IN WHICH ACCOUNT(S) OR SAFE DEPOSIT BOX(ES) HELD	TYPE OF ACCOUNT, (SAVINGS, CHECKING, SAFE DEPOSIT, ETC.)	ACCOUNT NO. OR SAFE DEPOSIT BOX NO.

NET WORTH STATEMENT -- ASSETS AND LIABILITIES

NOTE Complete the financial statements on pages 30 through 45 and copy the totals in the appropriate space below.

34. Please list all assets, tangible and intangible, in which a direct or indirect interest is held by you, your spouse or your dependent children. For each line item, list both the cost of the asset and the present market values as of the date of this statement unless this cannot reasonably be done, in which case any special valuation date should be noted in the column provided. Detail each line entry on the appropriate schedule.

ASSET	COST AT DATE ACQUIRED OR PURCHASED (A)	CURRENT MARKET VALUE (B)	SPECIAL VALUATION DATE, IF ANY
1. Cash a) On Hand ----- b) In bank (Schedule A)		a) ----- b)	
2. Notes Receivable (Schedule B)			
3. Loans and Other Receivables (Schedule C)			
4. Securities (Schedule D)			
5. Real Estate Interests (Schedule E)			
6. Cash Value Life Insurance (Schedule F)			
7. Cash Value Pension/ Retirement Funds (Schedule G)			
8. Furniture and Clothing (Reasonable Estimate)			
9. Vehicles (Schedule H)			
10. Other (Schedule I)			
TOTAL ASSETS			

35. Please list all liabilities of you, your spouse and your dependent children. Enter the amount as of the date of this statement. Detail each line entry on the appropriate schedule.

LIABILITY	ORIGINAL AMOUNT OF LIABILITY (C)	AMOUNT OUTSTANDING (D)
11. Notes Payable (Schedule J)		
12. Loans and Other Payables (Schedule K)		
13. Taxes Payable (Schedule L)		
14. Mortgages or Liens on Real Estate (Schedule M)		
15. Loans Against Insurance/Pensions (Schedule N)		
16. Other Indebtedness (Schedule O)		
TOTAL LIABILITIES		
NET WORTH		
Total Assets (From Column B) less Total Liabilities (From Column D)		
17. Contingent Liabilities (Schedule P)		

Date of Statement _____

Please provide the name, address and phone number of the person completing this statement if it is completed by someone other than you.

Name _____

Address _____

Phone _____

SCHEDULE "A" - CASH IN BANK

36. List below all bank accounts (checking, savings, time deposits, certificates of deposit, money market funds, etc.) foreign and domestic, maintained by you, your spouse or dependent children. Identify with an asterisk (*) any check writing accounts held with brokerage houses, insurance companies, etc.

NAME AND ADDRESS OF INSTITUTION	NAME OF PERSON(S) AND TAX IDENTIFICATION NUMBER(S) APPEARING ON ACCOUNT	ACCOUNT NUMBER	INTEREST RATE (%)	GENERAL NATURE OF ACCOUNT	DATE OF BALANCE	BALANCE
						\$ _____ TOTAL CURRENT BALANCE (Enter this figure in item 1b, column B on page 29.)

SCHEDULE "B" - NOTES RECEIVABLE

37. List below all notes receivable held by you, your spouse or dependent children.

CHECK IF HELD BY SPOUSE OR DEPENDENT CHILD	NAME AND ADDRESS OF DEBTOR	INTEREST RATE (%)	ORIGINAL LOAN AMOUNT	ORIGINAL DATE OF LOAN	TOTAL PAYMENTS	DATE DUE	NATURE OF SECURITY, IF ANY, INDICATE IF UNSECURED	CURRENT BALANCE
			\$ _____ TOTAL ORIGINAL LOAN AMOUNT(S) (Enter this figure in item 2, column A on page 29.)				\$ _____ TOTAL CURRENT BALANCE (Enter this figure in item 2, column B on page 29.)	

SCHEDULE "C" - LOANS AND OTHER RECEIVABLES

38. List below all loans and other receivables held by you, your spouse or dependent children.

CHECK IF HELD BY SPOUSE OR DEPENDENT CHILD	NAME AND ADDRESS OF DEBTOR	INTEREST RATE (%)	ORIGINAL LOAN AMOUNT	ORIGINAL DATE OF LOAN/ RECEIVABLE	TOTAL PAYMENTS	DATE DUE	NATURE OF ADVANCE	CURRENT BALANCE
			\$ _____ TOTAL ORIGINAL LOAN AMOUNT(S) (Enter this figure in item 3, column A on page 29.)					\$ _____ TOTAL CURRENT BALANCE (Enter this figure in item 3, column B on page 29.)

SCHEDULE "D" - SECURITIES

39. Provide the information in the table below for all stocks, bonds, mutual funds, commodity accounts, options, warrants, etc., held or controlled by you, your spouse or dependent children. Whenever interest exists through a mutual fund or holding company, the individual stocks or bonds held by such mutual fund or holding company need not be listed; whenever such interest exists through a beneficial interest in a trust, the securities held in such trust shall be listed if you, your spouse or dependent children have knowledge of what securities are so held. INDICATE PUBLICLY TRADED SECURITIES BY AN ASTERISK(*).

CHECK IF HELD BY SPOUSE OR DEPENDENT CHILD	NUMBER OF SECURITIES OR CONTRACTS HELD	TYPE OF SECURITY	NAME OF ISSUING COMPANY OR GOVERNMENT AGENCY	MARKET VALUE AT TIME OF ACQUISITION	DATE OF AND PRICE AT PURCHASE	% OF OWNERSHIP IF GREATER THAN 5%	REGISTERED OWNER	DATE OF VALUATION	CURRENT MARKET VALUE
					\$ _____ TOTAL PURCHASE PRICE (Enter this figure in item 4, column A on page 29.)				\$ _____ TOTAL CURRENT MARKET VALUE (Enter this figure in item 4, column B on page 29.)

SCHEDULE "E" - REAL ESTATE INTERESTS

40. Indicate below the location, size, general nature, acquisition date and other information requested regarding any real property in which any direct, indirect, vested or contingent interest is held by you, your spouse or dependent children, along with the names of all individuals or entities who share a direct, indirect, vested or contingent interest therein.

CHECK IF HELD BY SPOUSE OR DEPENDENT CHILD	ADDRESS PARCEL/LOT NUMBER	LOT SIZE/ SQUARE FOOTAGE OF BUILDING	TYPE OF PROPERTY	DATE ACQUIRED	INDIVIDUALS OR ENTITIES SHARING INTEREST (INCLUDE % OF OWNERSHIP FOR EACH)	PURCHASE PRICE OF % OWNED	MONTHLY RENTAL INCOME, IF ANY	ESTIMATED MARKET VALUE OF % OWNED
						\$ TOTAL PURCHASE PRICE (Enter this figure in item 5, column A on page 29.)		\$ TOTAL CURRENT MARKET VALUE (Enter this figure in item 5, column B on page 29.)

SCHEDULE "F" - CASH VALUE - LIFE INSURANCE

41. Indicate below the information requested with regard to the cash value of all life insurance policies held by you, your spouse or your dependent children.

CHECK IF HELD BY SPOUSE OR DEPENDENT CHILD	DATE PURCHASED	INSURANCE CARRIER	POLICY NUMBER	BENEFICIARY(IES)	FACE VALUE	ANNUAL PREMIUM PAYMENTS	CASH SURRENDER VALUE
							TOTAL CASH SURRENDER VALUE (Enter this figure in item 6, column B on page 29.)

SCHEDULE "G" - CASH VALUE - PENSION/RETIREMENT FUNDS

42. Indicate below the information requested with regard to the cash value of all pension funds held by you or your spouse. Include IRA, 401K and KEOGH plans.

CHECK IF HELD BY SPOUSE	TYPE OF FUND	TYPE OF SECURITIES HELD	EMPLOYER/ INSTITUTION	ACCOUNT NUMBER, IF ANY	CUMULATIVE EMPLOYEE CONTRIBUTION	CUMULATIVE EMPLOYER CONTRIBUTION	CURRENT CASH VALUE
					\$ _____ TOTAL CUMULATIVE EMPLOYEE CONTRIBUTION (Enter this figure in item 7, column A on page 29.)		\$ _____ TOTAL CURRENT CASH VALUE (Enter this figure in item 7, column B on page 29.)

SCHEDULE "H" - VEHICLES

43. Indicate below the information requested with regard to all vehicles owned or leased by you, your spouse, or your dependent children.

CHECK IF HELD BY SPOUSE OR DEPENDENT CHILD	TYPE OF VEHICLE	SPECIFY IF OWNED OR LEASED*	DATE OF PURCHASE/ LEASE	MODEL YEAR	MAKE/ MODEL OF VEHICLE	COST**	IF OWNED, CURRENT MARKET VALUE
						\$ _____	\$ _____
						TOTAL COST(S) OF VEHICLES (Enter this figure in item 9, column A on page 29.)	TOTAL CURRENT MARKET VALUE OF VEHICLES (Enter this figure in item 9, column B on page 29.)

* If leased, specify in this column the length of the lease, total lease costs, down payments, monthly payments and number of payments over the life of the lease.

**If leased, enter the sum of the down payment plus monthly payments to date as the total cost.

SCHEDULE "I" - OTHER ASSETS

44. List below the information requested with regard to all other assets held by you, your spouse or your dependent children. Include such things as sole proprietorships, partnership interests, joint ventures, art collections, coin collections, antiques, etc.

CHECK IF HELD BY SPOUSE OR DEPENDENT CHILD	NATURE OF ASSET	DATE OF ACQUISITION	COST	% OF OWNERSHIP INTEREST	DATE OF VALUATION	CURRENT MARKET VALUE
			TOTAL COST(S) OF OTHER ASSETS (Enter this figure in item 10, column A on page 29.)			TOTAL CURRENT MARKET VALUE OF OTHER ASSETS (Enter this figure in item 10, column B on page 29.)

SCHEDULE "J" - NOTES PAYABLE

45. List below the information requested with regard to all notes payable for which you, your spouse or dependent children are obligated.

CHECK IF OWED BY SPOUSE OR DEPENDENT CHILD	NAME & ADDRESS OF CREDITOR	ACCOUNT NUMBER, IF ANY	DATE INCURRED	DUE DATE	INTEREST RATE (%)	AMOUNT OF PERIODIC PAYMENT/ PAY PERIOD	ORIGINAL AMOUNT OF NOTE	NATURE OF SECURITY, IF ANY	TOTAL PAYMENTS	OUTSTANDING AMOUNT OF LIABILITY
							\$ TOTAL ORIGINAL AMOUNT OF NOTES PAYABLE (Enter this figure in item 11, column C on page 29.)			\$ TOTAL AMOUNT OF OUTSTANDING NOTES PAYABLE (Enter this figure in item 11, column D on page 29.)

SCHEDULE "K" - LOANS AND OTHER PAYABLES

46. List below the information requested with regard to all accounts payable (include lines of credit, installment loans, revolving charge accounts and any other accounts) for which you, your spouse or your dependent children are obligated.

CHECK IF OWED BY SPOUSE OR DEPENDENT CHILD	NAME & ADDRESS OF CREDITOR	ACCOUNT NUMBER, IF ANY	DATE OPENED OR INCURRED	DUE DATE	INTEREST RATE (%)	NATURE OF ACCOUNT	ORIGINAL AMOUNT OF LIABILITY	NATURE OF SECURITY, IF ANY	TOTAL PAYMENTS	CURRENT AMOUNT OUTSTANDING
							\$ _____			\$ _____
							TOTAL ORIGINAL AMOUNT OF LIABILITY (Enter this figure in item 12, column C on page 29.)			TOTAL AMOUNT OF OUTSTANDING LOANS AND OTHER PAYABLES (Enter this figure in item 12, column D on page 29.)

SCHEDULE "L" - TAXES PAYABLE

47. List below the information requested with regard to all taxes payable for which you, your spouse, or your dependent children are obligated. Only real estate and income taxes need to be included.

CHECK IF OWED BY SPOUSE OR DEPENDENT CHILD	TAXING AUTHORITY	NATURE OF TAX	DATE AND AMOUNT OF ORIGINAL OBLIGATION	FINES, PENALTIES AND INTEREST, IF ANY	TOTAL AMOUNT DUE
			\$ _____ TOTAL ORIGINAL TAX OBLIGATION(S) (Enter this figure in item 13, column C on page 29.)		\$ _____ TOTAL AMOUNT OF TAXES PAYABLE (Enter this figure in item 13, column D on page 29.)

SCHEDULE "M" - MORTGAGES OR LIENS PAYABLE ON REAL ESTATE

48. List below the information requested with regard to all mortgages or liens payable on real estate for which you, your spouse or your dependent children are obligated.

CHECK IF OWED BY SPOUSE OR DEPENDENT CHILD	NAME AND ADDRESS OF MORTGAGEE OR LIEN HOLDER	ACCOUNT NUMBER	DATE INCURRED	ORIGINAL AMOUNT OF LIABILITY	DESCRIPTION/ ADDRESS OF REAL ESTATE	TERM OF MORTGAGE/ INTEREST RATE (%)	AMOUNT OF PERIODIC PAYMENT/ PAY PERIOD	CURRENT MORTGAGE BALANCE
				\$ _____ TOTAL ORIGINAL MORTGAGES OR LIENS PAYABLE ON REAL ESTATE (Enter this figure in item 14, column C on page 29.)				\$ _____ TOTAL MORTGAGES OR LIENS PAYABLE ON REAL ESTATE (Enter this figure in item 14, column D on page 29.)

SCHEDULE "N" - LOANS AGAINST INSURANCE/PENSION PLANS

49. List below the information requested with regard to all loans against life insurance policies, pension plans, 401K plans, etc., taken by you, your spouse or your dependent children.

CHECK IF OWED BY SPOUSE OR DEPENDENT CHILD	INSURANCE CARRIER/ PENSION PLAN	PURPOSE OF LOAN	ORIGINAL AMOUNT OF LOAN	INTEREST RATE (%)	DATE OF LOAN	PERIODIC PAYMENT AMOUNT/ PAY PERIOD	CURRENT LOAN BALANCE
			\$ TOTAL ORIGINAL LIABILITY INSURANCE/ PENSION LOANS (Enter this figure in item 15, column C on page 29.)				\$ TOTAL AMOUNT OUTSTANDING INSURANCE/PENSION LOANS (Enter this figure in item 15, column D on page 29.)

SCHEDULE "O" - ANY OTHER INDEBTEDNESS

50. List below the information requested with regard to any other indebtedness for which you, your spouse or your dependent children are obligated.

CHECK IF OWED BY SPOUSE OR DEPENDENT CHILD	NAME AND ADDRESS OF CREDITOR	INTEREST RATE (%)	DESCRIPTION OF LIABILITY, TYPE OF OBLIGATION AND NATURE OF SECURITY, IF ANY	DUE DATE	AMOUNT OF PERIODIC PAYMENT/ PAY PERIOD	ORIGINAL AMOUNT OF LIABILITY	OUTSTANDING AMOUNT OF INDEBTEDNESS
						\$ _____ TOTAL ORIGINAL AMOUNT OTHER INDEBTEDNESS (Enter this figure in item 16, column C on page 29.)	\$ _____ TOTAL AMOUNT OUTSTANDING OTHER INDEBTEDNESS (Enter this figure in item 16, column D on page 29.)

SCHEDULE "P" - CONTINGENT LIABILITIES

51. List below the information requested with regard to all contingent liabilities for which you, your spouse or your dependent children are obligated.

CHECK IF OWED BY SPOUSE OR DEPENDENT CHILD	NAME AND ADDRESS OF CONTINGENT CREDITOR	DATE INCURRED	ACCOUNT NUMBER	PRIMARY DEBTOR	DESCRIPTION OF OBLIGATION INCLUDING NATURE OF SECURITY, IF ANY	ORIGINAL AMOUNT OF CONTINGENT OBLIGATION	CURRENT AMOUNT OF CONTINGENT OBLIGATION
						\$ _____ TOTAL ORIGINAL CONTINGENT LIABILITIES (Enter this figure in item 17, column C on page 29.)	\$ _____ TOTAL AMOUNT OF OUTSTANDING CONTINGENT LIABILITIES (Enter this figure in item 17, column D on page 29.)

52. Provide the names and other information requested of three (3) references over the age of 18 who have known you for at least one year and can attest to your good character and reputation. No person can be a reference who is a member of your family. (Spouse, parents, grandparents, children, grandchildren, siblings, uncles, aunts, nephews, nieces, fathers-in-law, mothers-in-law, sons-in-law, daughters-in-law, brothers-in-law and sisters-in-law whether by whole or half blood, by marriage, adoption or natural relationship.)

REFERENCE ONE

Name _____	Business Address _____
Address _____	_____
_____	_____
_____	_____
Telephone No. _____	Occupation _____
	How long have you known the reference?

REFERENCE TWO

Name _____	Business Address _____
Address _____	_____
_____	_____
_____	_____
Telephone No. _____	Occupation _____
	How long have you known the reference?

REFERENCE THREE

Name _____	Business Address _____
Address _____	_____
_____	_____
_____	_____
Telephone No. _____	Occupation _____
	How long have you known the reference?

53. As indicated in the instructions on page 2 of this form, this page is to be used by you for any questions which require additional space to answer. The number of the question must be stated immediately prior to your answer. If additional pages are needed, photocopy this page or add paper of similar size and identify these pages with corresponding numbers and letters. **Be sure to include your initials at the bottom on any new page added.**

IDENTIFY ALL ANSWERS BY ORIGINAL QUESTION NUMBERS

STATEMENT OF TRUTH

STATE OF _____:

SS:

COUNTY OF _____:

_____, being duly sworn
(PRINT NAME)

according to law deposes and says:

1. I am the applicant who is submitting this application form.
2. I personally supplied the information contained in this form.
3. I understand and read the English language or I have had an interpreter read, explain and record the answer to each and every question on this application form.
4. I swear (or affirm) that the foregoing statements made by me are true. I am aware that if any of the foregoing statements made by me are willfully false, I am subject to punishment.

DATED: _____ (LEGAL SIGNATURE)
(Signature of Applicant)

Subscribed and sworn to

before me this _____ day
of _____, 20_____

NOTARY PUBLIC

STATE

DECLARACION DE VERDAD

ESTADO DE _____:

SS:

CONDADO DE _____:

_____, siendo debidamente jurado
de acuerdo a la ley depone y dice:

1. Yo soy el solicitante quien esta sometiendo esta planilla.
2. Yo suministre personalmente la informacion contenida en esta planilla.
3. Yo entiendo y leo Inglis, o e tenido un interprete leer, explicar y notar las contestaciones de cada y una pregunta en esta planilla.
4. Yo juro (o afirmo) que las declaraciones echas por mi anteriormente son ciertas. Tengo conocimiento que si algunas de las declaraciones echas por mi anteriormente son intencionalmente falsas, estoy sujeto a un castigo.

DATED: _____ (LEGAL SIGNATURE)
(Signature of Applicant)

Subscribed and sworn to

before me this _____ day

of _____, 20____

NOTARY PUBLIC

STATE

RELEASE AUTHORIZATION

To All Courts, Probation Departments, Selective Service Boards, Employers, Educational Institutions, Banks, Financial and Other Such Institutions, and All Governmental Agencies - federal, state and local, without exception, both foreign and domestic.

I, _____, have authorized the
(PRINT NAME)

New Jersey Casino Control Commission and the New Jersey Division of Gaming Enforcement to conduct a full investigation into my background and activities.

Therefore, you are hereby authorized to release any and all information pertaining to me, documentary or otherwise, as requested by any employee or agent of the Division of Gaming Enforcement or the Casino Control Commission, provided that he or she certifies to you that I have an application pending before the Casino Control Commission or that I am presently a licensee, registrant or other person required to be qualified under the provisions of the Casino Control Act.

This authorization shall supersede and countermand any prior request or authorization to the contrary.

A photostatic copy of this authorization will be considered as effective and valid as the original.

DATED: _____ (LEGAL SIGNATURE)
(Signature of Applicant)

Subscribed and sworn to

before me this _____ day

of _____, 20____

NOTARY PUBLIC

STATE